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X PATENT DESIGN B&W Ref. 0029	13.00031 Date 5/6/02
☐ HAND CARRY Group/Section	BldgRm
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Inventor HALAZONETIS ET AL.	Ciem RAUMO
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Assignment w/PTO Cover Sheet	☐ Request for Approval of Drawing Changes ☐ Notice of Appeal & Fee
☐ IDS w/PTO 1449 ☐ References ☐ w/Fee	☐ Brief: ☐ Appeal & Fee ☐ Reply
Preliminary Amendment	C Request for Otal Hearing
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Country Appl. 4 Date	- Notice of Allowance aid
w/Foreign Priority Document(s)	Amendment under 37 CFR 1.312
☐ Application: ☐ CIP ☐ Continuation ☐ Divisional	Request for Certificate of Correction
Parent Ser. No	☐ Transmittel ☐ Fee Transmittel w/Auth. to Charge Deposit Acct. ☐ Certificate of Mailing
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Serial/Parent No. UNICHOWN  Serial/Parent No. UNICHOWN  Inventor HALAZONETIS ET AL. Client  Title PEPTIDES AND PEPTIDOMIMETICS  TO HUMAN P.53 THAT ACTIVATES	BIDD RM APPW  BAYER  LITH STRUCTURAL SIMILARITY
Serial/Parent No. UNICHOWN  Serial/Parent No. UNICHOWN  Inventor HALAZONETIS ET AL. Client  Title PEPTIDES AND PEPTIDOMIMETICS  TO HUMAN & S3 THAT ACTIVATES & S3  The following has been received in the U.S. Parent and T.	BIDD RM APPW BAYER.  LITH STRUCTURAL SIMILARITY FUNCTION
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Serial/Patient No. UNICNOWN  Serial/Patient No. UNICNOWN  Inventor HALA LONGTIS FTAL. Client  Title PEPTIDES AND PEPTIDOMIMETICS  TO HUMAN P.S.3 THAT ACTIVATES P.S.3  The following has been received in the U.S. Patent and Trademark Offic  untal pp Spec., including: # of Claims  (# of independent claims ): Abstract  Atty/Sec_  Client  The period of the U.S. Patent and Trademark Offic  Atty/Sec_  Client  The following has been received in the U.S. Patent and Trademark Offic  Atty/Sec_  Client  The following has been received in the U.S. Patent and Trademark Offic  Atty/Sec_  Client  The following has been received in the U.S. Patent and Trademark Offic  Atty/Sec_  Client  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  Client  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  Client  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  Atty/Sec_  The following has been received in the U.S. Patent and Trademark Office  The following has been received in the U.S. Patent and Trademark Office  The following has been received in the U.S. Patent and Trademark Office  The following has been received in the U.S. Patent and Trademark Office  The following has been received in the U.S. Patent and Trademark Office  The following has been received in the U.S. Patent and Tradema	BidgRM
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Serial/Parent No. UNICO WAT SET AL. Client  Inventor HANDES AND PEPTLDO MIMETICS  To HUMAN P.S. THAT ACTIVATES P.S.3  The following has been received in the U.S. Patent and Trademark Offic  total pp Spec., including: # of Claims  (# of independent claims   Abstract   Ament  ## of distinct sheets   Formal   Informal   Petitio  ## of distinct sheets   Figs.   CPA    Assignment w/PTO Cover Sheet   Notice   Reque    Assignment w/PTO Cover Sheet   Reque    Preliminary Amendment   Priority Claim (Foreign or U.S. Provisional. B&W #   Issue F    Country   Appl. # Date   Amendment   Request    Application:   CIP   Continuation   Divisional   Request   Parent Ser. No.   B&W#   Transm	Bidg
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Atty. Docket No.:

002973.00031

HALAZONETIS et a

Serial No.: Not Yet Known

Application is a Divisional of

Serial No. 08/894,327 (Filed December 4, 1997) Group Art Unit:

Unknown

Filed:

April 11, 2001

Examiner:

Unknown

For:

PEPTIDES AND PEPTIDOMIMETICS WITH STRUCTURAL SIMILARITY

TO HUMAN p53 THAT ACTIVATE p53 FUNCTION

#### **STATUS INQUIRY**

Commissioner for Patents Washington, D. C. 20231

Sir:

Please advise us of the serial number and the status of the above-identified application including an indication as to when the next communication can be expected.

Respectfully submitted,

Date: October 9, 2001

By:

Ajay Pathak

Registration No. 38,266

Banner & Witcoff, Ltd. 1001 G Street, N.W. Washington, D. C. 20001-4597 (202) 508-9100

### IN THE ENITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Atty. Docket No.:

002973.00031

HALAZONETIŞ et al.

MAY 0 6 2002

Serial No.: Not Yet Known

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Serial No. 08/894,327 (Filed December 4, 1997)

Filed:

April 11, 2001

Examiner:

Unknown

For:

PEPTIDES AND PEPTIDOMIMETICS WITH STRUCTURAL SIMILARITY

TO HUMAN p53 THAT ACTIVATE p53 FUNCTION

# REQUEST FOR CONFIRMATION OF ORIGINAL FILING DATE AND SERIAL NUMBER

Commissioner for Patents Washington, D. C. 20231

Sir:

On April 11, 2001, Applicants filed a Divisional Application, Fee Transmittal, Preliminary Amendment and Submission of Sequence Listing, Information Disclosure Statement, Form PTO-1449, Joint Declaration for Patent Application (copy), and copy of WO 96/25434 before the U.S. Patent and Trademark Office (USPTO). A copy of the date stamped receipt card as proof of the same is attached. Also, a copy of all papers filed on April 11, 2001 are attached hereto for the convenience of the Patent Office. Applicants have not yet received a filing receipt or notification of a serial number.

On October 9, 2001, Applicants submitted a Status Inquiry for the above-identified divisional patent application. Copy attached. Applicants filed a Second Status Inquiry on February 8, 2002. Copy attached. In response to that Second Status Inquiry, Applicants' undersigned attorney received a telephone call from "Rene" (703-308-1235) of the USPTO. She stated that application serial number 08/894,327 was patented as U.S. Patent No. 6,245,886, on June 12, 2001, and she did not know why she was given the Second Status Inquiry to respond to. 461706 1.DOC

Applicants followed up on this telephone call with a call to the Office of Initial Patent Examination (OIPE) (703-308-1202), who pointed out that the USPTO did not have any record of the April 11, 2001 divisional application as ever having been filed, and directed us to PCT Branch (703-305-3257).

Mr. Jim Lisehora of PCT Branch confirmed that the USPTO did not have any record of the April 11, 2001 divisional application as ever having been filed and referred us back to OIPE.

Applicants respectfully request the USPTO to accept the attached divisional patent application papers and the date stamped receipt card as proof of the April 11, 2001 filing and accord a filing date of April 11, 2001 and assign a serial number for the above-identified divisional application.

No fees are believed due for consideration of this Request. However, the Commissioner is authorized to charge Deposit Account No. 19-9733 for any fees deemed necessary.

Respectfully submitted,

Date: May 6, 2002

By:

Ajay Pathak

Registration No. 38,266

Banner & Witcoff, Ltd. 1001 G Street, N.W. Washington, D. C. 20001-4597 (202) 508-9100

#### **Enclosures:**

Divisional Application Transmittal
Fee Transmittal
Preliminary Amendment and Submission of Sequence Listing
Information Disclosure Statement
Form PTO-1449
Joint Declaration for Patent Application (copy)
Copy of WO 96/25434
Date stamped receipt card bearing USPTO date stamp of April 11, 2001
Status Inquiry dated October 9, 2001
Status Inquiry dated February 8, 2002



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UTILITY PATENT APPLI	AGA F
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02973.00031 Attorney Docket No. First Inventor Halazonetis et al. Peptides and Peptidomimetics with Structural Similarity to Human p53 that Activate p53 Function

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77. 61	Banner & Witcoff, LTD.									
Name										
	1001 G Street, NW, 11 <sup>th</sup> Floor									
Address										
City	Washington			State	dc		Zip Code	20001-4597		
Country	USA		Teleph	none	202-508-	9100	Fax	202-508-9299		
Nâme (P	rint/Type)	Ajay Pathak	a	-4	1	n No. (Attori	ney/Agent)	38,266		
Signature Gill Vathate Date					Date	April 11, 2001				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

TOTAL AMOUNT OF PAYMENT

Appro-U.S. Patent and Trader. to respond to a collection of information

PTO/SB/17 (11-00) use through 10/31/2002. OMB 0651-0032 ce: U.S. DEPARTMENT OF COMMERCE Lss it displays a valid OMB control number.

# FEE TRANSMIK for FY 2001

Patent fees are subject to almost revision.

UNT OF PAYMENT

Complete if Known					
Application Number	ТВА				
Filing Date	Herewith				
First Named Inventor	Halazonetis et al.				
Examiner Name	Unknown				
Group / Art Unit	Unknown				
Attorney Docket No.	02973.00031				

		METHO	D OF P	AYMENT (chec	k one)					FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge			3. ADDITIONAL FEES									
1. indicated fees and credit any over payments to:			1	Large Entity		Small Entity						
					<del></del>	7	Fee	Fee	Fee	Fee	For Deposituation	Fee
	posit count	19-07:	33			İ	Code	(\$)	Code	(\$)	Fee Description	Paid_
	mber	""				ł	105	130	205	65	Surcharge - late filing fee or oath	
Daniel Communication of the Co						127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Banner & Witcoff, LTD						139	130	139	130	Non-English specification		
						147	2,520	147	2,520	For filing a request for reexamination		
	☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						920*	112	920*	Requesting publication of SIR prior to Examiner action		
	Applicant See 37 C	FR 1.27		y status.			113	1,840*	113	1,840	Requesting publication of SIR after Examiner action	
2.	Payme	nt Enclos	ed:				115	110	215	55	Extension for reply within first month	
_ ا	1 Charle	П с	J'A	П. Манан.	☐ Other		116	390	216	195	Extension for reply within second	<b></b>
	] Check	Cre	dii caro	☐ Money Order	U Other			200	047		month	
			FEE C	ALCULATION			117	890	217	445	Extension for reply within third month	<b> </b>
1.	BASIC FIL						118	1,390	218	695	Extension for reply within fourth month	
Large	Entity		Entity				128	1,890	228	945	Extension for reply within fifth month	
Fee Code			Fee (\$)	Fee Description	n Fee Paid		119	310	219	155	Notice of Appeal	
101			• •	Utility filing fee	710	7	120	310	220	155	Filing a brief in support of an appeal	
106				Design filing fee		-	121	270	221	135	Request for oral hearing	
107				Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355	Reissue filing fe	e L	_	140	110	240	55	Petition to revive - unavoidable	
114 150 214 75 Provisional filling fee					141	1,240	241	620	Petition to revive – unintentional			
00070744 (4)					142	1,240	242	620	Utility issue fee (or reissue)			
l	SUBTOTAL (1) (\$) 710					143	440	243	220	Design issue fee		
2. EX1	RA CLAIN	1 FEES					144	600	244	300	Plant issue fee	
	•				ee from Fee		122	130	122	130	Petitions to the Commissioner	
Total Cla	ims 4		) = [	Claims b	elow Paid = 0		123	130	123	130	Petitions related to provisional applications	•
Independe Claims	ent 2	-3	=	0 X	= 0		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Depender	ıt		•	×	= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Entity Fee	Small Fee	Entity Fee	y Fee Descript	ion		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	•			149	710	249	355	For each additional invention to be	
103	18	203	9	Claims in exc	•						examined (37 CFR § 1.129(b))	
102 104	80 270	202 204	40 135	• .	claims in excess of 3	.	179	710	279	355	Request for Continued Examination (RCE)	.
1				•	ndent claim, if not paid dependent claims over		169		· 169	900	Request for expedited examination	
109	80	209	40	original paten	t		"		.55		of a design application	
110	18	210	9	** Reissue cla over original p	aims in excess of 20 a patent	nd						
İ	SUBTOTAL (2) (\$) 0 Other fee (specify)						·					
*Reduced by Basic Filing Fee Paid SUBTOTAL (3)					aid SUBTOTAL (3) (\$) 0							
**or nu	nber previou	sly paid, if	greater	For Reissues, se	e above		l				1.7.	

SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	Ajay Pathak	Registration No. Attorney/Agent)	38,266	Telephone	202-508-9100				
Signature	Chiang A	athale_		Date	April 11, 2001				

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